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PLAINTIFFS

DEFENDANTS

WILLIAM R. PRIM

DEAN WITTER & CO., INCORPORATED
and DEAN DAY

JUDGE ELLISON

CAUSE

15 USC Sec. 780-3; 15 USC Sec. 78F; 15 USC, Sec. 78j(b); Violation of Sec. 2 of Article III of NASD Rules of Fair Practice and N.Y. Stock Exchange Rules

ATTORNEYS

Paul H. Petersen
~~Gable, Gotwals, Rubin, Fox,~~
~~Johnson & Baker, Inc.~~
~~2010 Fourth National Bank Bldg.~~
~~Tulsa, Oklahoma 74119~~
~~582-9201~~

SUITE 1012
320 S. BOSTON
TULSA, OK 74103
584-0084

James P. McCann
Sam P. Daniel, Jr.
+ Lawrence T. Chambers, Jr.
1000 Atlas Life Bldg.
Tulsa, OK 74103

582-1211

<input type="checkbox"/> CHECK HERE IF CASE WAS FILED IN FORMA PAUPERIS	FILING FEES PAID			STATISTICAL CARDS	
	DATE	RECEIPT NUMBER	C.D. NUMBER	CARD	DATE MAILED
				JS-5	5-1-75
				JS-6	2-1-83

JUDGE ELLISON

PROCEEDINGS

DATE	NR.	
4-10-75	1.	Complaint, filed. Summons issued. hm
4-17-75		U.S. Marshals service returned and filed. Executed by serving summons and complaint upon the following: Dean Witter & Co., Inc., 4-15-75 at Oklahoma City and Dean Day, 4-11-75 at Tulsa, Oklahoma.(USM\$7.96)j
5-2-75	2.	Appl. for enlargement of time to ans., filed by Defts. Dean Witter & Co., Inc., and Dean Day. v
5-5-75	3.	Order, filed, that Defts. Dean Witter & Co., Inc., and Dean Day have until 5-20-75 to ans. (JCS-CC)v -copies mld.
5-20-75	4	Defts' answer, filed.k
7-16-75	MO:	Case set for PT hearing 8-27-75 at 2:15 p.m. (HDC-J)hm
8-26-75	5	Appl. of pltf. for cont. of pre-trial hearing, filed. rm
8-27-75	6	Order, filed, striking case from pretrial docket of 8-27-75. (HDC-J)g
10-8-75	MO:	Case set for pre-trial hearing 11-10-75 at 3:15 p.m. (HDC-J)hm
11-10	MO:	Case called for PT hearing. Statements made. Upon agreement of parties, case held in abeyance pending outcome of similar case in State Court. Status report to be filed every 60 days. (HDC-J)hm
<u>1976</u>		
1-23-76	7.	Status Report filed by pltf.jj
7-29	8.	Status Report filed by pltf. ph
10-21	9.	Status Report filed by pltf. ph
4-1-77	10.	Status report filed by pltf. ph
12/14/78	11.	Status Report, filed by Pltf. v
5-18-79	12	STATUS REPORT by pltf.k
<u>1979</u>		
11-8	MO:	CASE TRANSFERRED TO JUDGE JAMES O. ELLISON. (JCS-CLK)b
<u>1980</u>		
2-12-80	MO:	CASE SET FOR STATUS REPORT on 3-7-80 at 1:30 p.m.(JOE-J)ra ntc mld
3-6-80	13	STATUS REPORT by pltf (for both sides).ra
3-7	MO:	ORDERED that, upon filing of status report, case is STRICKEN from hrg of 3-7-80; FURTHER ORDERED that parties file status reports with the court at <u>least</u> once every 60 days.(JOE-J)ra c/m
5-23	14	STATUS REPORT by defts (for both parties).ra
8-19	MO	CASE SET for status report on 9-30-80 at 9:30 a.m. (JOE-J)k c/m
9-29	MO	CASE STRICKEN from disposition docket on 9/30/80 until 10/10/80 at 9:30A.M. am n/phone
10-3	15	STATUS REPORT by defts.am
10-7	MO	CASE STRICKEN from 10-10-80 setting at 9:30 a.m., defts. having filed a status report. (JOE-J)k ntc by phone
2-12	MO	CASE set for disposition on 3-31-81 at 9:00 for parties failure to submit status reports. (due 12-3-80)(JOE-J)cs cps/mld.

DC 111A
(Rev. 1/75)

CIVIL DOCKET CONTINUATION SHEET

PLAINTIFF WILLIAM R. PRIM		DEFENDANT DEAN WITTER & CO., et al.	DOCKET NO. 75-C-131-E PAGE 3 OF 2 PAGES
DATE	NR.	PROCEEDINGS	
1981			
3-6-	16	STATUS REPORT by defts.nd	
3-11	MO	Case stricken from dispo. dkt. on March 31, Ct. having rec. status report.(JOE-J)cs Cps/mld.	
4-27	17	STATUS REPORT filed by defts. nd	
6-30	18	STATUS rept. filed by defts. jc	
9-4	19	STATUS REPORT by deft.am	
10-30	20	STATUS REPORT by deft. jc	
12-31	21	STATUS REPORT by Deft. pt	
1982			
3-1	22	STATUS Report by deft. jc	
4-30	23	STATUS REPORT of defts.a	
12-20-82	MO:	Parties ordered to file status report by 1-15-83 & every 60 days thereafter(JOE-J)b n/m	
1983			
1-14	24	STATUS REPORT of defts. bv	
1-25	25	MOTION of pltf for dismissal w/prejudice.(0 to J)a	
1-28	26	ORDER of dismissal w/prejudice of pltf to a subsequent refiling. (JOE-J) c/m bv ENTERED	

CIVIL DOCKET CONTINUATION SHEET

PLAINTIFF		DEFENDANT	DOCKET NO. _____ PAGE ____ OF ____ PAGES
DATE	NR.	PROCEEDINGS	